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123  
PATENT  
Customer Number: 22,852  
Attorney Docket No. 05725/0637-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
**David W. CANNELL et al.** )  
)  
Application No.: New U.S. Patent Application ) Group Art Unit: Unassigned  
)  
Filed: March 30, 2001 ) Examiner: Unassigned  
)  
For: COMPOSITIONS COMPRISING AT )  
LEAST ONE AMINATED C<sub>3</sub>-C<sub>5</sub> )  
SACCHARIDE UNIT, AND THEIR USE )  
FOR THE PROTECTION AND/OR )  
REPAIR OF KERATINOUS FIBERS )

11033 U.S. PTO  
09/820954  
03/30/01

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Copies of the listed documents, including any co-pending patent applications, are attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

LAW OFFICES  
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**BEST AVAILABLE COPY**

With respect to the non-English language documents, Applicants submit the following remarks:

1. DE 297 09 853 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
2. JP 06-122614 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
3. JP 04-266812 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
4. JP 10-279439 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
5. JP 09-059134 - An abstract of the disclosure of this document can be found in the English language Derwent Abstract submitted herewith.
6. Hollenberg et al., "Möglichkeiten Zur Beeinflussung Der Haarstruktur Durch Pflegeprodukte", SÖFW-Journal, Vol. 121, No. 2, 1995, pp. 82-89 - An abstract of the disclosure of this document can be found in the English language ACS Abstract submitted herewith.
7. Hollenberg et al., "Möglichkeiten Zur Beeinflussung Der Haarstruktur Mit Kosmetischen Mitteln", Seifen - Öle - Fette - Wachse, Vol. 117, January 1991, pp. 9-18 - An abstract of the disclosure of this document can be found in the English language ACS Abstract submitted herewith.

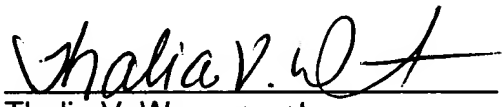
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the U.S. Patent Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:   
Thalia V. Warnement  
Reg. No. 39,064

Date: March 30, 2001